

IN THE DISTRICT COURT OF THE UNITED STATES
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
ASHEVILLE DIVISION

1:13 CR 20

FILED
IN COURT
ASHEVILLE, N.C.

MAR 28 2013

UNITED STATES OF AMERICA,

Vs.

WILLIAM LEDESSIE SMITH, III.

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RULE 11 INQUIRY and
ORDER OF ACCEPTANCE
OF PLEA

U.S. DISTRICT COURT
W. DIST. OF N.C.

The court is advised that you have filed a written plea agreement with the government in this case. The court is required by the Federal Rules of Criminal Procedure to inquire and advise you concerning the agreement. The court must ask you some questions and you will be required to personally respond to those questions under oath. I will now ask the Clerk to administer the oath to you.

1. Do you understand that you are now under oath and that you are required to give truthful answers to the questions that I am about to ask you?

YES: X

NO: _____

2. Do you understand that if you give false information under oath you may be prosecuted for perjury or false statements?

YES: X

NO: _____

3. Are you able to hear and understand my questions?

YES: X

NO: _____

4. Do you understand that you have the right to have a United States District Judge conduct this proceeding?

YES: X

NO: _____

5. Recognizing your right to proceed before a district judge, do you expressly consent to proceed in this court, that is, before a United States Magistrate Judge?

YES: X

NO:

6. a) There has been presented to me a document entitled "Sealed Addendum to Entry and Acceptance of Guilty Plea" which provides information to me concerning your name, age, education, use of drugs or alcohol and medical information. Did you provide the information for the completion of that document and did you sign that document?

YES: X

NO:

- b) Is all the information provided in the document true and accurate?

YES: X

NO:

7. Is your mind clear and do you understand that you are here today to enter a guilty plea that cannot later be withdrawn?

YES: X

NO:

8. Have you and your attorney reviewed the bill of information and have you and your attorney reviewed the plea agreement?

YES: X

NO:

9. From my examination of the plea agreement I am advised that you are pleading guilty to count one as contained in the bill of information.

Read count of the bill of information to which the defendant is pleading guilty. Are you pleading guilty to that offense(s)?

YES: X

NO:

The law requires that I advise you of the essential elements of such an offense. Before I advise you of those elements, I am going to read to you the statute that is alleged that you have violated.

Count One:

18 USC § 666(a)(1)(A)

(a) Whoever, if the circumstance described in subsection (b) of this section exists---

(1) being an agent of an organization, or of a State, local, or Indian tribal government, or any agency thereof---

(A) embezzles, steals, obtains by fraud, or otherwise without authority knowingly converts to the use of any person other than the rightful owner or intentionally misapplies, property that---

(i) is valued at \$5,000 or more, and

(ii) is owned by, or is under the care, custody, or control of such organization, government, or agency

shall be fined under this title, imprisoned not more than 10 years, or both.

Subsection (b) reads as follow: The circumstance referred to in subsection (a) of this section is that the organization, government, or agency receives, in any one year period, benefits in excess of \$10,000 under a Federal program involving a grant, contract, subsidy, loan, guarantee, insurance, or other form of Federal assistance.

The Elements of the Offense are as follows:

1. That you were an agent of the City of Asheville, North Carolina. The City of Asheville is a local governmental agency which pertains to a political subdivision within a State. The term "governmental agency" means a subdivision of the executive, legislative, judicial, or other branch of government, including a department, independent establishment, commission, administration, authority, board, and bureau, and a corporation or other legal entity established, and subject to control, by a government or governments for the execution of a governmental or intergovernmental program. The term "agent" means a person authorized to act on behalf of another person or a government and, in the case of an organization or government, includes a servant or employee, and a partner, director, officer, manager, and representative;
2. That during the period described in the bill of Information, you embezzled, stole, obtained by fraud or otherwise without authority converted to the use of another person other than the rightful owner or intentionally misapplied property;
3. That the property was valued at \$5,000 or more;
4. That the property was either owned by or was under the care, custody and control of the City of Asheville which is a "governmental agency";

5. That the City of Asheville received benefits in excess of \$10,000 during the one year period including April 1, 2011, pursuant to a Federal program involving a grant, contract, subsidy, loan, guarantee or insurance or some other form of Federal assistance; and
6. That you did all such acts knowingly, intentionally, unlawfully and willfully.

Penalty:

I am also required by law to advise you concerning the maximum and minimum penalties prescribed by law for such an offense or offense(s). Those maximum and minimum penalties are as follows:

A term of imprisonment of not more than 10 years', a fine not to exceed the sum of \$250,000, or both, a term of supervised release of not more than 3 years' and a \$100 special assessment.

10. Do you understand that if you are not a citizen of the United States, your guilty plea may result in your deportation from this country, your exclusion from admission to this country, or the denial of your naturalization under Federal Law?

YES: X

NO:

11. a) Do you fully understand the charges against you, including the maximum and minimum penalties?

YES: X

NO:

- b) Do you understand each element of the offenses charged?

YES: X

NO:

- c) Do you understand that upon a plea of not guilty the government would be required to prove each element of the offenses charged beyond a reasonable doubt?

YES: X

NO:

- d) Do you understand that the government would be required to prove that the unlawful act(s) were committed knowingly, willfully, intentionally, and unlawfully?

YES: X

NO:

12. If the court imposes an active term of imprisonment of more than one year, the court is required also to order a term of what is called "supervised release," and a term of supervised release may be ordered in other circumstances. This means that after a defendant is released from prison, there are certain terms and conditions they will be required to follow. The length of supervised release usually ranges from one to five years, but may be more or less than that for certain offenses. Do you understand the terms "supervised release" as the court has explained them to you?

YES: X

NO: _____

13. Do you understand that if you violate the terms and conditions of supervised release, you could be returned to prison for an additional period of time?

YES: X

NO: _____

14. Do you understand that parole has been abolished in the federal system; and if you are sentenced to a term of imprisonment, you will not be released on parole?

YES: X

NO: _____

15. Have you and your attorney discussed how the Sentencing Guidelines may apply in your case?

YES: X

NO: _____

16. Do you understand how these Guidelines may apply to you?

YES: X

NO: _____

17. Do you understand that the court will not be bound by the Sentencing Guidelines but nonetheless must consult those Guidelines and take them into account when sentencing?

YES: X

NO: _____

18. Do you understand that the sentence the Court will impose will be within the statutory limits and in the court's sound discretion and could be greater or less than the sentence as provided for by the Guidelines?

YES: X

NO: _____

19. Do you understand that the court will follow the procedural components of the Guidelines system, which means that the Probation Office will prepare a presentence report which contains Guidelines calculations and both you and the Government will have an opportunity to object to any alleged deficiencies in the report?

YES: X

NO:

20. Do you understand that in some circumstances you may receive a sentence that is different - that is, either higher or lower - than that called for by the Guidelines?

YES: X

NO:

21. Do you understand that if the sentence is more severe than you expect or the court does not accept the Government's sentencing recommendation, you will still be bound by your plea and have no right to withdraw the plea of guilty?

YES: X

NO:

22. Do you understand that the court has the discretion, in appropriate circumstances, to order you to make restitution to any victim of the offense. The court may also, in the appropriate circumstance, require you to pay the costs of your confinement in prison or costs of supervision or special investigative costs or all of these costs. The court may also require you to forfeit property involved in the offense. Do you understand these requirements as I have explained them to you?

YES: X

NO:

23. Do you understand you have a right to plead not guilty, to have a speedy trial before a judge and jury, to summons witnesses to testify in your behalf, and to confront witnesses against you?

YES: X

NO:

24. Do you understand that if you exercise your right to trial you would be entitled to the assistance of an attorney, that you would not be required to testify, that you would be presumed innocent, and the burden would be on the Government to prove your guilt beyond a reasonable doubt. Do you understand all of these rights?

YES: X

NO: _____

25. Do you understand that by entering a plea of guilty you forfeit and waive (or give up) your right to plead not guilty, to a trial by jury and at that trial the right to assistance of counsel, the right to confront and cross-examine witnesses against you, and the right against compelled self-incrimination or any other rights associated with a jury trial. Do you understand that by entering this plea of guilty you are waiving (or giving up) all of these rights. A trial will not be held. If your plea of guilty is accepted, there will be one more hearing where the district court will determine:

(a) Whether there is a factual basis for your plea; and

(b) What sentence to impose.

Do you understand that?

YES: X

NO: _____

26. Are you, in fact, guilty of the count in the bill of information to which you have come to court today to plead guilty? That is, did you commit the act(s) described in count one of the bill of information?

YES: X

NO: _____

27. a) Is your plea of guilty voluntary?

YES: X

NO: _____

- b) Other than the promises contained in the written plea agreement, has anyone made any promise to you or threatened you in any way to cause you to enter this plea of guilty against your wishes?

YES: _____

NO: X

- c) Do you enter this plea of guilty of your own free will, fully understanding what you are doing?

YES: X

NO: _____

28. Do you understand that entering a plea of guilty to a felony charge may deprive you, at least for a time, of certain civil rights such as the right to vote, hold a public office, serve on a jury and possess a firearm?

YES: X

NO: _____

29. Is your willingness to plead guilty the result of prior discussions between your attorney and the attorney for the government?

YES: X

NO: _____

30. Have you and the government entered into a plea agreement in regard to this case? [Attorney for the government will present the terms of the agreement.]

YES: X

NO: _____

31. Do you understand and agree with the terms of the plea agreement as they have just been explained to you?

YES: X

NO: _____

32. Is this your signature on the plea agreement?

YES: X

NO: _____

33. Have you discussed your right to appeal with your attorney, and do you understand the plea agreement in this case provides that you may not appeal your conviction or sentence or contest the same in a post-conviction proceeding unless it is on the grounds of prosecutorial misconduct or ineffective assistance of counsel?

YES: X

NO: _____

Do you knowingly and willingly accept this limitation on your right to appeal and to file post-conviction proceedings?

YES: X

NO: _____

34. Counsel, have you reviewed each of the terms of the plea agreement with the defendant and are you satisfied that he(~~she~~) understands those terms?

YES: X

NO: _____

35. Have you had ample time to discuss with your attorney any possible defenses that you may have to the charges and have you told your attorney everything that you want your attorney to know about this case?

YES: X NO: _____

36. Are you entirely satisfied with the services of your attorney?

YES: X NO: _____

37. Are you telling the court that you know and understand fully what you are doing; that you have heard and understood all parts of this proceeding; and that you want the court to accept your plea of guilty?

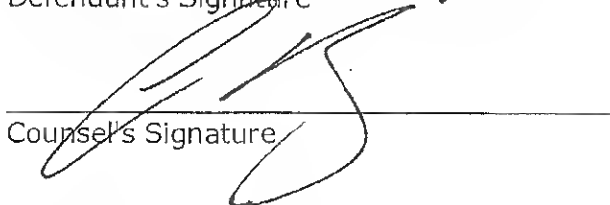
YES: X NO: _____

38. Do you have questions, statements, or comments to make about anything brought up or discussed in the course of the proceeding? If you do, I will be happy to try and answer your questions or I will be glad to hear any statements or comments that you want to make.

YES: _____ NO: X

On advice and in the presence of counsel, the defendant respectfully requests the Magistrate Judge to accept his or her guilty plea. By signing below, the defendant (and counsel) certify and affirm that the answers given to the questions propounded by the court, as recorded above and on the record, are true and accurate to the best of their knowledge.


Defendant's Signature


Counsel's Signature

Based upon the representations and answers given by the defendant (and counsel) in the foregoing Rule 11 proceeding, the court finds that the defendant's

plea is knowingly and voluntarily made; and that the defendant understands the charges, potential penalties, and consequences of said plea. Accordingly, the defendant's plea is hereby accepted.

SO ORDERED, this the 28 day of March 2013.



DENNIS L. HOWELL
UNITED STATES MAGISTRATE JUDGE